

| | | | |
|--|---|---|---|
| FORM PTO-1390 533 U.S. PTO 042705 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | ATTORNEYS DOCKET NUMBER P05,0145 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/533148 |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/011906 | INTERNATIONAL FILING DATE 27 October 2003 | PRIORITY DATE CLAIMED 28 October 2002 | |
| TITLE OF INVENTION: "METHOD AND ARRANGEMENT FOR AUTHENTICATING A CONTROL UNIT AND TRANSMITTING AUTHENTICATION INFORMATION TO THE CONTROL UNIT" | | | |
| APPLICANT(S) FOR DO/EO/US Berthold KATHAN | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Unexecuted 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. <input checked="" type="checkbox"/> A substitute specification. Marked up version of Substitute Specification 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: a. <input checked="" type="checkbox"/> EXPRESS MAIL #EV 635785671 US Mailed April 27, 2005. b. <input type="checkbox"/> c. <input type="checkbox"/> | | | |

JC20 Rec'd PCT/PTO 7 APR 2005

| | | | | | |
|---|--|--|--|--------------------------------------|--|
| U. S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/533148</div> | | INTERNATIONAL APPLICATION NO. PCT/EP2003/011906 | | ATTORNEY'S DOCKET NUMBER P05,0145 | |
|---|--|--|--|--------------------------------------|--|

| | | | | | | | |
|--|--------------|--------------|------------|-----------------------|--|--------------|--|
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): (Search Report has been prepared by the EPO or JPO) \$1000.00 (Includes National Stage, Search, and Examination Fees) | | | | CALCULATIONS | | PTO USE ONLY | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$1000.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | | | | |
| Claims | Number Filed | Number Extra | Rate | | | | |
| Total Claims | 26-20 = | 6 | X \$50.00 | \$300.00 | | | |
| Independent Claims | 4-3 = | 1 | X \$200.00 | \$200.00 | | | |
| Multiple Dependent Claims | | | \$300.00 + | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1500.00 | | | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) | | | | | | | |
| SUBTOTAL = | | | | \$1500.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$00 | | | |
| TOTAL NATIONAL FEE = | | | | \$1500.00 | | | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | + | | | |
| TOTAL FEES ENCLOSED = | | | | \$1500.00 | | | |
| | | | | Amount to be refunded | | | |
| | | | | charged | | | |

a. ☒ A check in the amount of **\$1500.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574

Brett A. Valiquet

SIGNATURE

Brett A. Valiquet

NAME

27,841

Registration Number

10/533148

JC20 Rec'd PCT/PTO 27 APR 2005
CERTIFICATION OF MAILING

"Express Mail" Mailing Label Number EV 635785671 US

Date of Deposit: April 27, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

Berthold KATHAN

Application entitled: **"METHOD AND ARRANGEMENT FOR AUTHENTICATING A CONTROL UNIT AND TRANSMITTING AUTHENTICATION INFORMATION TO THE CONTROL UNIT"**

Our Case No. P05,0145 (26970-0372)

Transmittal Form 1390 in Duplicate
Unexecuted Declaration
German Application
Translation of German Application
Four Sheets of Drawings
Two Sheets of Translated Drawings
Information Disclosure Statement – Form 1449 – 2 References
Preliminary Amendment
Substitute Specification - Marked Copy of Substitute Specification
Filing Fee \$1500.00
Postcard

Signature of person mailing application and fee


CH1\ 4063437.1